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|------------------------------------|--------------------------------|--|--|
| <b>Item No.</b><br>7.              | <b>Classification:</b><br>Open | <b>Date:</b><br>18 November<br>2020                            | <b>Meeting Name:</b><br>Audit, governance and<br>standards committee |
| <b>Report title:</b>               |                                | Internal audit and anti-fraud progress report<br>November 2020 |  |
| <b>Ward(s) or groups affected:</b> |                                | All  |  |
| <b>From:</b>                       |                                | Strategic Director of Finance and Governance                   |  |

## RECOMMENDATIONS

1. That the audit, governance and standards committee note the report, as attached at Appendix A.

## BACKGROUND INFORMATION

2. The purpose of the report is as set out in the report, as attached at Appendix A.

## Policy implications

3. This report is not considered to have direct policy implications.

## Community impact statement

4. This report is not considered to contain any proposals that would have a significant impact on any particular community or group.

## Resource implications

5. If there are direct resource implications in this report, such as the payment of fees, these will be met from existing budget provision.

## Consultation

6. There has been no consultation on this report.

## SUPPLEMENTARY ADVICE FROM OTHER OFFICERS

7. None required.

## BACKGROUND DOCUMENTS

| Background Papers | Held At | Contact |
|-------------------|---------|---------|
| None              |         |         |

## APPENDICES

| No.        | Title  |
|------------|--|
| Appendix A | Internal audit and anti-fraud progress report 18 November 2020 |

## AUDIT TRAIL

|   |  |                          |
|---|--|--------------------------|
| <b>Lead Officer</b>   | Duncan Whitfield, Strategic Director of Finance and Governance |                          |
| <b>Report Author</b>  | Greg Rubins, BDO   |                          |
| <b>Version</b>  | Final  |                          |
| <b>Dated</b>  | 10 November 2020   |                          |
| <b>Key Decision?</b>  | No   |                          |
| <b>CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER</b> |  |                          |
| <b>Officer Title</b>  | <b>Comments sought</b>   | <b>Comments included</b> |
| Director of Law and Democracy   | No   | N/A                      |
| Strategic Director of Finance and Governance                            | No   | N/A                      |
| <b>Cabinet Member</b>   | No   | No                       |
| <b>Date final report sent to Constitutional Team</b>                    | 10 November 2020   |                          |