

# Audit, Governance and Standards Committee

Monday 7 February 2022  
7.00 pm  
160 Tooley Street, London SE1 2QH

## Membership

Councillor James McAsh (Chair)  
Councillor Graham Neale (Vice-Chair)  
Councillor Humaira Ali  
Councillor Dora Dixon-Fyle MBE  
Councillor Tom Flynn  
Councillor Andy Simmons  
Councillor Michael Situ

## Reserves

Councillor Radha Burgess  
Councillor Sunil Chopra  
Councillor Nick Dolezal  
Councillor Eleanor Kerlake  
Councillor Adele Morris

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## INFORMATION FOR MEMBERS OF THE PUBLIC

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### Access to information

You have the right to request to inspect copies of minutes and reports on this agenda as well as the background documents used in the preparation of these reports.

### Babysitting/Carers allowances

If you are a resident of the borough and have paid someone to look after your children, an elderly dependant or a dependant with disabilities so that you could attend this meeting, you may claim an allowance from the council. Please collect a claim form at the meeting.

### Access

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### Contact

Virginia Wynn-Jones on 020 7525 7055 or email: [virginia.wynn-jones@southwark.gov.uk](mailto:virginia.wynn-jones@southwark.gov.uk)

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Members of the committee are summoned to attend this meeting

**Eleanor Kelly**

Chief Executive

Date: 28 January 2022



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160 Tooley Street, London SE1 2QH

## Order of Business

Item No.	Title	Page No.
	<b>PART A - OPEN BUSINESS</b>	
	<b>The chair would like to remind members that prior to the meeting they have the opportunity to inform officers of particular areas of interest relating to reports on the agenda, in order for officers to undertake preparatory work to address matters that may arise during debate.</b>	
1.	<b>APOLOGIES FOR ABSENCE</b>	
	To receive any apologies for absence.	
2.	<b>CONFIRMATION OF VOTING MEMBERS</b>	
	A representative of each political group will confirm the voting members of the committee.	
3.	<b>NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT</b>	
	In special circumstances, an item of business may be added to an agenda within five clear days of the meeting.	
4.	<b>DISCLOSURE OF INTERESTS AND DISPENSATIONS</b>	
	Members to declare any personal interests and dispensation in respect of any item of business to be considered at this meeting.	
5.	<b>MINUTES</b>	1 - 3
	To approve as a correct record the minutes of the open section of the meeting held on 20 September 2021.	

<b>Item No.</b>	<b>Title</b>	<b>Page No.</b>
<b>6.</b>	<b>GOVERNANCE CONVERSATION: ELEANOR KELLY</b>	
	The Chief Executive, Eleanor Kelly, to discuss governance arrangements	
<b>7.</b>	<b>AUDIT PLANS (INCLUDING PENSION FUND)</b>	4 - 58
<b>8.</b>	<b>INTERNAL AUDIT PLAN AND STRATEGY FOR INTERNAL AUDIT AND INTERNAL AUDIT CHARTER</b>	
	To follow	
<b>9.</b>	<b>ANNUAL REPORT ON CORPORATE RISK AND INSURANCE 2021-22</b>	59 - 71
<b>10.</b>	<b>COVID-19 PANDEMIC: UPDATE FEBRUARY 2022</b>	72 - 76
<b>11.</b>	<b>APPOINTMENT OF LOCAL AUDITOR</b>	77 - 79
<b>12.</b>	<b>MEMBER INDUCTION PROGRAMME MAY 2022</b>	
	To follow	
<b>13.</b>	<b>REVIEW OF THE COMPLAINTS MADE UNDER THE CODE OF CONDUCT</b>	80 - 85
<b>14.</b>	<b>REPORT ON THE OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000</b>	86 - 93
<b>15.</b>	<b>ANNUAL REPORT ON THE WORK AND PERFORMANCE OF THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE IN 2021-22</b>	94 - 103
<b>16.</b>	<b>CONFIRMATION OF NON-VOTING CO-OPTED MEMBERS OF THE CIVIC AWARDS SUB-COMMITTEE FOR 2021-22</b>	
	Verbal update	
<b>17.</b>	<b>ANNUAL WORK PROGRAMME FOR FOLLOWING YEAR (2022-23)</b>	
	To follow	
	<b>ANY OTHER OPEN BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT</b>	

**Item No.**

**Title**

**Page No.**

**EXCLUSION OF PRESS AND PUBLIC**

The following motion should be moved, seconded and approved if the sub-committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1-7, Access to Information Procedure rules of the Constitution.”

**PART B - CLOSED BUSINESS**

Date: 28 January 2022