

Audit, Governance and Standards Committee

Monday 10 February 2020
6.30 pm

Ground Floor Meeting Room G02A - 160 Tooley Street, London SE1 2QH

Membership

Councillor Humaira Ali
Councillor James McAsh (Chair)
Councillor Dora Dixon-Fyle MBE
Councillor Nick Dolezal
Councillor Andy Simmons
Councillor Dan Whitehead
Councillor Tom Flynn

Reserves

Councillor Sarah King
Councillor Hamish McCallum
Councillor Bill Williams
Councillor Lorraine Lauder MBE
Councillor Jason Ochere

INFORMATION FOR MEMBERS OF THE PUBLIC

Access to information

You have the right to request to inspect copies of minutes and reports on this agenda as well as the background documents used in the preparation of these reports.

Babysitting/Carers allowances

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Access

The council is committed to making its meetings accessible. Further details on building access, translation, provision of signers etc for this meeting are on the council's web site: www.southwark.gov.uk or please contact the person below.

Contact

Virginia Wynn-Jones on 020 7525 7055 or email: virginia.wynn-jones@southwark.gov.uk

Members of the committee are summoned to attend this meeting

Eleanor Kelly

Chief Executive

Date: 31 January 2020



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Order of Business

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	PART A - OPEN BUSINESS	
	<p>The chair would like to remind members that prior to the meeting they have the opportunity to inform officers of particular areas of interest relating to reports on the agenda, in order for officers to undertake preparatory work to address matters that may arise during debate.</p>	
1.	APOLOGIES FOR ABSENCE	
	To receive any apologies for absence.	
2.	CONFIRMATION OF VOTING MEMBERS	
	A representative of each political group will confirm the voting members of the committee.	
3.	NOTIFICATION OF ANY ITEMS OF BUSINESS WHICH THE CHAIR DEEMS URGENT	
	In special circumstances, an item of business may be added to an agenda within five clear days of the meeting.	
4.	DISCLOSURE OF INTERESTS AND DISPENSATIONS	
	Members to declare any personal interests and dispensation in respect of any item of business to be considered at this meeting.	
5.	MINUTES	1 - 3
	To approve as a correct record the minutes of the open section of the meeting held on 11 September 2019	

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6.	GOVERNANCE CONVERSATION: STRATEGIC DIRECTOR OF CHILDREN'S AND ADULTS' SERVICES	
	David Quirke-Thornton to bring a verbal update to the committee for discussion.	
7.	SHARED ICT SERVICE - UPDATE	
	Fabio Negro, MD for the shared ICT service, and Emma Marinis, Director of Modernise, to bring an update to the committee for discussion.	
8.	BDO INTERNAL AUDIT AND ANTI-FRAUD PROGRESS REPORT	4 - 63
9.	INTERNAL AUDIT STRATEGY AND OPERATIONAL PLAN 2020-21	64 - 105
10.	AUDIT PLANS (INCLUDING PENSION FUND)	
	To follow	
11.	ANNUAL REPORT ON CORPORATE RISK AND INSURANCE	106 - 115
12.	REPORT ON THE OPERATIONAL USE OF THE REGULATION OF INVESTIGATORY POWERS ACT 2000	116 - 123
13.	REVIEW OF THE COMPLAINTS MADE UNDER THE CODE OF CONDUCT	124 - 128
14.	REVIEW OF MEMBER AND OFFICER PROTOCOL AND COMMUNICATIONS PROTOCOL	129 - 152
15.	WHISTLEBLOWING COMPLAINTS AND OUTCOMES AND UPDATE TO POLICY	153 - 156
16.	ANNUAL REPORT ON THE WORK AND PERFORMANCE OF THE AUDIT, GOVERNANCE AND STANDARDS COMMITTEE IN 2019-20	157 - 162
17.	DRAFT WORK PROGRAMME FOR 2020-21	163 - 172
	Note: A blank self-assessment form has been circulated separately to members	
18.	APPOINTMENT OF NON-VOTING CO-OPTED MEMBERS OF THE CIVIC AWARDS SUB-COMMITTEE FOR 2019-20	173 - 174

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ANY OTHER OPEN BUSINESS AS NOTIFIED AT THE START OF THE MEETING AND ACCEPTED BY THE CHAIR AS URGENT

EXCLUSION OF PRESS AND PUBLIC

The following motion should be moved, seconded and approved if the sub-committee wishes to exclude the press and public to deal with reports revealing exempt information:

“That the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraphs 1-7, Access to Information Procedure rules of the Constitution.”

PART B - CLOSED BUSINESS

Date: 31 January 2020